

**Buckingham Global Advisors, LLC**

26 Galaxy Isle  
Ladera Ranch, CA 92694

Phone: (949) 668-1620  
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NFA ID #0487899

**Client Required Forms  
March 15, 2021**

**THE FOLLOWING DOCUMENTS ARE INTENDED FOR INDIVIDUALS WHO HAVE ACKNOWLEDGED RECEIPT OF A BUCKINGHAM GLOBAL ADVISORS LLC DISCLOSURE DOCUMENT DATED MARCH 15, 2021. IF YOU HAVE NOT RECEIVED A COPY OF THIS DOCUMENT PLEASE CONTACT BUCKINGHAM GLOBAL ADVISORS LLC IMMEDIATELY USING THE CONTACT INFORMATION PROVIDED ABOVE.**

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**EXHIBIT A**  
**BUCKINGHAM GLOBAL ADVISORS LLC**  
**CLIENT INFORMATION AND SUITABILITY**

**INDIVIDUAL AND JOINT ACCOUNTS**

Buckingham Global Advisors, LLC is required to obtain certain information about its clients. Please assist us by providing the information requested below. This form is for Individual and Joint Accounts. For Corporate or Entity clients, please use the applicable form provided within this document.

ACCOUNT INFORMATION (PLEASE PRINT)

First Client

Second Client (for Joint Accounts)

\_\_\_\_\_  
Name (Please Print)

\_\_\_\_\_  
Name (Please Print)

\_\_\_\_\_  
Residence Street Address

\_\_\_\_\_  
Residence Street Address

\_\_\_\_\_  
City, State, Postal Code, Country

\_\_\_\_\_  
City, State, Postal Code, Country

\_\_\_\_\_  
Principal Occupation or Business

\_\_\_\_\_  
Principal Occupation or Business

\_\_\_\_\_  
Current Estimated Annual Income

\_\_\_\_\_  
Current Estimated Annual Income

\_\_\_\_\_  
Current Estimated Net Worth

\_\_\_\_\_  
Current Estimated Net Worth

\_\_\_\_\_  
Birth Date (in MM/DD/YYYY Format)

\_\_\_\_\_  
Birth Date (in MM/DD/YYYY Format)

\_\_\_\_\_  
Marital Status / Number of Dependents

\_\_\_\_\_  
Marital Status / Number of Dependents

\_\_\_\_\_  
Number of Years of Investment Experience

\_\_\_\_\_  
Number of Years of Investment Experience

\_\_\_\_\_  
Number of Years of Futures Trading Experience

\_\_\_\_\_  
Number of Years of Futures Trading Experience

**CLIENT INFORMATION AND SUITABILITY  
CORPORATE AND ENTITY ACCOUNTS**

This form is for Corporate or Other Entity Accounts. For Individual or Joint Accounts, please use the applicable form provided within this document.

ACCOUNT INFORMATION (PLEASE PRINT)

Name of Entity	E Mail Address
Mailing Address	Principal Business
City, State, Postal Code, Country	Estimated Net Assets
Current Estimated Annual Income	Prior Year Annual Income
Number of Years of Investment Experience	Number of Years of Futures Trading Experience
Is the entity an Investment Pool?	___ Yes    ___ No
Does the entity currently have or solicit US Investors?	___ Yes    ___ No
<i>If answered "No" to both above, disregard remaining questions in this section.</i>	
Is the entity organized outside of the Unites States?	___ Yes    ___ No
Is the entity registered with the NFA, CFTC or SEC?*	___ Yes    ___ No
If yes, please list registrations: _____	
If no, is an exemption on file with the NFA?	___ Yes    ___ No
If no, please describe why no such registration or exemption is required: _____	

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**NOTE TO CORPORATIONS:** Please attach resolutions or Articles of Incorporation and By-Laws authorizing signatory to open the managed account.

**NOTE TO PARTNERSHIPS:** Please attach copy of the Partnership Agreement and indicate the section(s) granting authority to the signatory to open the managed account.

**NOTE TO TRUSTS:** Please attach copy of the instrument creating the Trust (Trust Agreement) and indicate the section(s) granting authority to the signatory to open the managed account.

**EXHIBIT B**  
**BUCKINGHAM GLOBAL ADVISORS LLC**  
**ADVISORY AGREEMENT**

This ADVISORY AGREEMENT (the “Advisory Agreement”) is entered into as of \_\_\_\_\_ 20\_\_\_\_ by and between Buckingham Global Advisors, LLC (the “Advisor”) and \_\_\_\_\_ (“Client”).

WHEREAS, Client desires to engage the services of Advisor for the purpose of trading Client’s futures trading account (the “Account”) on a discretionary basis, and rendering services ancillary thereto; and

WHEREAS, Advisor desires to trade the Account on behalf of Client; and

NOW, THEREFORE, in consideration of the mutual promises contained herein, and for other good and valuable consideration the receipt and sufficiency of which is hereby acknowledged, the parties hereto agree as follows:

THIS ADVISORY AGREEMENT IS ENTERED INTO BASED UPON THE FOLLOWING REPRESENTATIONS:

1. Client represents that (i) Client has the requisite capital for the principal purpose of investing and/or trading in commodity futures contracts and related options, forward contracts, physical, and other commodity interests and cash market contracts (hereinafter called “Commodity Interests”) pursuant to the trading policies employed by the Advisor; (ii) Client has been informed and is fully cognizant of the possible risks associated with such investments; (iii) Client has the requisite authority to enter into this Advisory Agreement; and (iv) the individual executing this Advisory Agreement on behalf of the Client is authorized to execute it.
2. The Advisor is hereby authorized to trade the Client’s Account pursuant to the following managed account program as described in the most recent version of Advisor’s Disclosure Document dated March 15, 2021 received by Client relating thereto (the “Disclosure Document”) (if there is more than one program, then **please check** the applicable trading program):

- \_\_\_\_\_ A. Weekly E-Mini Program
- \_\_\_\_\_ B. Small E-Mini Program
- \_\_\_\_\_ C. Accel Equities Long/Short Program

(the “Managed Account Program”). Without limiting the foregoing, Client appoints Advisor as Client’s attorney-in-fact with respect to the Account to buy, sell or otherwise trade in Commodity Interests through the Broker (as defined in Section 3 below) pursuant to the Managed Account Program. Client hereby gives and grants to Advisor full power and authority to act for Client and on Client's behalf to do every act and thing whatsoever requisite, necessary or appropriate to be done in connection with this power of attorney as fully and in the same manner and with the same force and effect as Client might do or could do if personally present, and Client hereby ratifies and confirms any and all transactions heretofore made by Advisor for the Account and agrees that the rights and obligations of Client in respect thereof shall be governed by the terms of this Advisory Agreement. Advisor shall have discretionary authority to make all trading decisions for the Account, without prior consultation with Client and without prior notice to Client with respect to such trading decisions. By

this Advisory Agreement, Client authorizes the Broker to permit Advisor to enter orders for the Account.

3. Client shall open and fund the Account by completing the forms required by, and/or depositing sufficient funds with a duly registered Futures Commission Merchant (hereinafter called the "Broker"), who is mutually acceptable to both the Client and the Advisor and has been selected by the Client. Client shall complete a notional funding agreement if amounts deposited with Broker are less than committed capital (initial trading level).
4. Advisor will seek capital appreciation in Client's Account by trading speculatively in Commodity Interests utilizing the proprietary trading methods for the selected Managed Account Program.
5. Advisor's services are not rendered exclusively for Client, and Advisor shall be free to render similar and other services to others.
6. This Advisory Agreement shall remain in effect until terminated by either party, in its sole and absolute discretion, upon written notice to the other party. Advisor or Client may terminate this Advisory Agreement for any reason. Advisor recommends that the Client notify the Advisor, in writing, at least ten (10) business days prior to the effective date of the earlier of (i) the termination of the Advisor's limited power of attorney over the Account, or (ii) the termination of this Advisory Agreement. Upon termination of this Advisory Agreement, the open positions and subsequent management of the Account shall be the sole responsibility of the Client. Advisor recommends that the Client notify the Advisor at least ten (10) business days in advance of closing the Client's Account.
7. Client may add to or withdraw funds from its Account at any time provided that the Client may only withdraw funds to the extent that the Account's value remains above the minimum initial account value set forth in Section 3 above. Advisor recommends that the Client notify the Advisor, in writing, at least ten (10) business days in advance of such additions and withdrawals. Client's withdrawal of funds without giving prior notice to the Advisor may impact the margin requirements of the Broker and relevant exchange for any open positions held at that time, and may result in liquidation of open positions. Client recognizes that a reduction of equity could materially and adversely affect the potential profitability of the Account.
8. Client's Account shall be charged for all commissions, fees and expenses, including wire charges and NFA and exchange fees arising from transactions in connection with the Advisor's management of the Account and/or the administration of the Account.
9. Client agrees to inform the Advisor immediately in writing if the Client is dissatisfied with the Advisor's decisions or actions, or if the Client is dissatisfied with the Broker's handling of the Account.
10. Advisor's recommendations, actions and authorizations shall be for the account and risk of Client. Advisor makes no guarantee or representation that any of its services will result in a profit to the Client or its Account. Client has discussed the risks of futures trading and understands those risks. Client assumes sole responsibility for any and all losses that may be incurred.
11. Client understands and agrees that Broker, rather than Advisor, will have full custody of Client's funds and investment positions, and the Advisor has no responsibility for the proper execution of orders by the Broker. Client authorizes any FCM used by Client at any time to furnish copies of all confirmations and periodic account statements to the Advisor and any third-party service providers designated by the Advisor that uses these statements to compile fees and performance reports. Client agrees that the Advisor is not responsible for the selection or use of any FCM; the execution of transactions; or to monitor any FCM's financial viability, business practices, or compliance with

applicable law or regulation. Client further acknowledges and agrees that the Advisor makes no representations or warranties, express, implied, or otherwise, regarding the financial viability or business integrity of any FCM. Client acknowledges and understands that the FCM(s) holding the Account are solely responsible for the transmission of trade confirmations and monthly account statements to Client and the Advisor, as well as custody of Client's assets held in the Account. Client further represents that Client is able to read and understand the confirmations and statements issued by the FCM, or will seek and obtain instruction and guidance from the FCM and/or Client's introducing broker on how to do so, until Client has acquired such ability and understanding.

12. Client will pay the Advisor a monthly management fee equal to 1/12 of \_\_\_\_\_, annually, of the Account's ending monthly "Nominal Account Value." Such amounts may be billed monthly, at the discretion of the Advisor. The term "Nominal Account Value" means the total of Gross Ending Equity plus any and all Notional Funds. Gross Ending Equity is defined as the Beginning Equity plus any cash or other asset additions minus any withdrawals plus Gross Trading Performance and interest minus any fees or charges. Gross Trading Performance and interest is defined as the sum of the realized and unrealized trading profits plus any interest credited to the account during the period.
13. The Client will pay the Advisor a monthly incentive fee of \_\_\_\_\_ percent of Net New Profits unless specified otherwise in writing by the Advisor. "Net New Profits" is defined to mean: (a) the net realized trading profits and losses for the period, plus (b) the change in unrealized trading profits and losses for the period, minus (c) any net trading losses carried forward from previous periods that have not been recouped, minus (d) management fees charged or accrued to the Account, if applicable. The term net new profits also includes interest income earned or credited to your Account. In this context, Net New Profits will be defined as the excess, if any, of cumulative net profits at the end of a month over the highest prior cumulative net profit reached during the lifetime of the Client Account. For the purposes of cumulative net profits, any trading losses from prior months must be recouped and a new high profit must be achieved before further incentive fees will be payable. Within the incentive fee calculation profits shall include both realized and unrealized gains as well as interest received on Client Account assets. In the event Net New Profits for a month are negative, a "Carry Forward Loss" will be applied to the beginning of the next period. To the extent any funds are withdrawn from a Client Account, any loss attributed to those funds may be deducted from the Carry Forward Loss. Under this scenario, the Advisor will not be entitled to incentive fees unless trading profits for an ensuing month exceeds all applicable carry forward losses. Net New Profits shall not be reduced by extraordinary expenses, if any, or by the incentive fee itself. Advisor shall not be required to earn back any incentive fees previously paid in order to generate Net New Profits for the Account.
14. The Advisor will bill all fees to which Advisor is entitled under this Advisory Agreement, and will send advisory fee invoice or similar record directly to the Broker to be paid out of Client's Account. Client agrees to and hereby does authorize the Broker to make payments from Client's Account to the Advisor as compensation, for the Advisor's services to Client under this Advisory Agreement. The Advisor reserves the right to negotiate different fees for different Clients and share any portion of these fees with third parties as permitted by applicable law.
15. The Client acknowledges that Client has read a copy of the Disclosure Document, including the Risk Disclosure Statement contained therein, and agrees to be bound by all of the terms and provisions of the Disclosure Document in full. Neither Advisor, nor any of Advisor's principals, associated persons, officers, employees, agents or affiliates, shall be liable, responsible or accountable in damages or otherwise to Client, or to others, except by reason of their acts constituting willful malfeasance or gross negligence, and then only to the extent mandated by applicable law. Advisor makes no guarantee that any of its services will result in a profit or will not result in a loss for Client. All transactions effected for Client's Account are at Client's risk, and Client shall be solely liable therefor under all circumstances. Client represents and warrants that Client is willing and financially able to sustain such losses. Without limiting the foregoing, Advisor shall not be liable to Client for the loss of any margin deposits which

is the direct or indirect result of the bankruptcy, insolvency, liquidation, receivership, custodianship or assignment for the benefit of creditors of any bank, clearing or other broker, exchange, clearing organization or similar entity. Client shall indemnify Advisor and its principals, associated persons, officers, employees, agents and affiliates against any loss, cost or damage arising out of any obligation of Client under its customer agreements with clearing brokers.

16. In the event that any provisions of this Advisory Agreement are invalid for any reason whatsoever, all other conditions and provisions of this Advisory Agreement shall, nevertheless, remain in full force and effect.
17. This Advisory Agreement, including the provisions of the Disclosure Document, constitutes the entire agreement between the parties relating to the subject matter hereof, and no modifications or amendments of this Advisory Agreement shall be binding unless in writing and signed by the parties hereto.
18. This Advisory Agreement may not be assigned by either party, except that Advisor may assign this Advisory Agreement to its parent or subsidiary, or to any entity under common ownership or control with Advisor.
19. This Agreement shall be governed by the laws of the State of California without regard to any internal conflict of law's provisions.
20. The relationship between the Advisor and the Client shall be limited to that expressly set forth in this Advisory Agreement for the purposes of Advisor's management of the Client's Account for the benefit of the Client. Advisor is an independent contractor and this Advisory Agreement shall not be deemed to establish a joint venture between the Advisor and the Client. Nothing herein contained shall be construed as creating a general partnership or other similar relationship or as authorizing any party to act as a general agent or to enter into any contract or other agreement on behalf of any other party other than as expressly approved in writing by such party.
21. Client acknowledges and agrees that the trading systems, strategy and methodologies employed by Advisor in trading Client's Account all constitute the confidential and proprietary information and trade secrets of Advisor. The advice provided hereunder by Advisor is for the exclusive use of Client. Without limiting the foregoing, Client agrees to treat all advice, documents and other communication related to the Account and the services provided hereunder as strictly confidential, and to not disclose them to any other party, or use them for any purpose, other than as expressly approved in writing by Advisor.
22. If more than one person or entity is signing this Advisory Agreement as Client, then each undertaking herein shall be a joint and several undertaking of all such persons and entities, and the foregoing grant of power of attorney and authority to Advisor shall be a joint and several grant by all such persons and entities. Any notice, instruction or action of any one Client pursuant to this Advisory Agreement shall bind all such Clients. An Account in joint names creates a joint tenancy with right of survivorship and not a tenancy in common.
23. Client authorizes the Advisor or the Introducing Broker on the trading account to instruct the FCM clearing trades for the Client to forward all necessary information and documents regarding the Client's account to the Advisor's independent administrator in order for the independent administrator to compile performance and advisory fees for the Advisor. The Administrator will be permitted to obtain, at a minimum, the daily and monthly statements for the Client's account and any agreements executed with the FCM and the Advisor in order for the Advisor to meet its regulatory and business obligations.
24. This Advisory Agreement is deemed to have been drafted jointly by the parties, and any uncertainty or ambiguity shall not be construed for or against either party as an attribution of drafting to either



party. Whenever the context so requires, the plural shall include the singular and vice versa. All words and phrases shall be construed as masculine, feminine or neuter gender, according to the context. The recitals to this Agreement are, and shall be construed to be, an integral part of this Agreement. Whenever the term “include”, “including”, or “included” is used in this Agreement, it shall mean including without limiting the foregoing.

25. This Advisory Agreement may be executed in one or more counterparts, each of which shall be deemed an original and all of which when taken together shall constitute but one and the same instrument. A copy of this Advisory Agreement transmitted by facsimile or digital media shall be deemed to be, and have the same force and effect as, an original.

**SIGNATURE PAGE TO  
ADVISORY AGREEMENT**

IN WITNESS WHEREOF, the parties hereto have executed this Advisory Agreement on the day and year first written above.

**IF INDIVIDUAL PERSON(S)**

\_\_\_\_\_  
First Client's Signature

\_\_\_\_\_  
Second Client's Signature (if a joint account)

\_\_\_\_\_  
Name (Please Print)

\_\_\_\_\_  
Name (Please Print)

\_\_\_\_\_  
Electronic Mail Address (Please Print)

\_\_\_\_\_  
Electronic Mail Address (Please Print)

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

**IF AN ENTITY**

**FOR THE ADVISOR**

\_\_\_\_\_  
Name of Owner of Managed Account

Buckingham Global Advisors, LLC  
26 Galaxy Isle  
Ladera Ranch, CA 92694

\_\_\_\_\_  
Authorized Person's Signature

\_\_\_\_\_  
Authorized Person's Signature

\_\_\_\_\_  
Authorized Person's Name (Please Print)

\_\_\_\_\_  
Authorized Person's Name (Please Print)

\_\_\_\_\_  
Title (Please Print)

\_\_\_\_\_  
Title (Please Print)

\_\_\_\_\_  
Electronic Mail Address (Please Print)

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

**EXHIBIT C**  
**BUCKINGHAM GLOBAL ADVISORS LLC**  
**FEE PAYMENT AUTHORIZATION**

TO: \_\_\_\_\_  
Futures Commission Merchant (Print)

The undersigned client(s) (“Client”) hereby authorizes its futures commission merchant (the “FCM”), to deduct from Client’s commodity trading account with the FCM and remit directly to Buckingham Global Advisors, LLC (the “Advisor”), within five business days following the FCM’s receipt of the Advisor’s written statement, such management and incentive fees as shall become due and owing to the Advisor under the terms and conditions of the Advisory Agreement.

Client acknowledges its ongoing responsibility to regularly review all of its account records and statements from the FCM and from the Advisor, since such records will be conclusive and binding on Client unless a prompt written and/or verbal objection from Client is received by the FCM or the Advisor, as the case may be.

Check Payable To:     Buckingham Global Advisors, LLC  
                              26 Galaxy Isle  
                              Ladera Ranch, CA 92694

Wire Instructions:

**IF INDIVIDUAL PERSON(S)**

\_\_\_\_\_  
First Client’s Signature

\_\_\_\_\_  
Second Client’s Signature (if a joint account)

\_\_\_\_\_  
Name (Please Print)

\_\_\_\_\_  
Name (Please Print)

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

**IF AN ENTITY**

\_\_\_\_\_  
Name of Owner of Managed Account

\_\_\_\_\_  
Authorized Person’s Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Authorized Person’s Name (Please Print)

\_\_\_\_\_  
Title (Please Print)

**EXHIBIT D**  
**BUCKINGHAM GLOBAL ADVISORS LLC**  
**ARBITRATION AGREEMENT**

The undersigned client (“Client”) hereby agrees that any claim or controversy between Client and Buckingham Global Advisors, LLC or any of its employees, affiliates, or agents, or its or their respective successors or assigns (collectively referred to as the “Advisor”) arising directly or indirectly out of, or relating to, the Advisory Agreement between Client and the Advisor (the “Advisory Agreement”) or any of the account opening documentation, including but not limited to the Advisory Agreement, Fee Payment Authorization, Notional Funding Agreement (if applicable) or in connection with transactions made on behalf of Client by Advisor, Client’s accounts with the Advisor, transactions between Client and the Advisor or any other document or agreement now or hereafter existing that relates to Client’s accounts with the Advisor, or any breach of any of them or any transactions effected pursuant to them shall, except as provided below, be resolved by binding arbitration before a forum chosen in accordance with the following procedure. At such time as Client notifies the Advisor or any of its affiliates that Client intends to submit a claim or controversy to arbitration or at such time as the Advisor or any of its affiliates notifies Client that the Advisor or any of its affiliates intends to submit a claim or controversy to arbitration, Client shall have the opportunity to choose a forum from a list of three or more qualified forums provided to Client by the Advisor within 10 days of notification that a claim or controversy is being submitted for arbitration. If Client fails to make a selection of a qualified forum within 45 days or receipt of such list, the Advisor shall have the right to select a qualified forum from the list. A “qualified forum” is an organization whose procedures for conducting arbitrations comply with the requirements of United States Commodity Trading Commission (“CFTC”) Regulation Section 166.5. The National Futures Association will be one of the forums offered. Any award rendered by the arbitrators shall be final and binding on and judgment may be entered in any court having jurisdiction.

The Advisor acknowledges that the Advisor or any of its affiliates who is a party to any controversy arbitrated pursuant to this Arbitration Agreement shall be required to pay any incremental fees which may be assessed by a qualified forum for provision of a mixed arbitration panel, unless the arbitrator(s) hearing the controversy shall determine that Client has acted in bad faith in initiating or conducting the arbitration. A “mixed arbitration panel” is an arbitration panel composed of one or more persons, a majority of whom are not members of a contract market or employed by or otherwise associated with a member of a contract market and are not otherwise associated with a contract market.

Any award rendered in any arbitration conducted pursuant to this Arbitration Agreement shall be final and binding on and enforceable each and/or all of the parties hereto and their personal representatives in accordance with the substantive law of the State of California, and judgment may be entered on any such award by any court having jurisdiction thereof.

THREE FORUMS EXIST FOR THE RESOLUTION OF COMMODITY DISPUTES: CIVIL COURT LITIGATION, REPARATIONS AT THE COMMODITY FUTURES TRADING COMMISSION (CFTC) AND ARBITRATION CONDUCTED BY A SELF-REGULATORY OR OTHER PRIVATE ORGANIZATION.

THE CFTC RECOGNIZES THAT THE OPPORTUNITY TO SETTLE DISPUTES BY ARBITRATION MAY IN SOME CASES PROVIDE MANY BENEFITS TO CUSTOMERS, INCLUDING THE ABILITY TO OBTAIN AN EXPEDITIOUS AND FINAL RESOLUTION OF DISPUTES WITHOUT INCURRING SUBSTANTIAL COSTS. THE CFTC REQUIRES, HOWEVER, THAT EACH CUSTOMER INDIVIDUALLY EXAMINE THE RELATIVE MERITS OF ARBITRATION AND THAT YOUR CONSENT TO THIS ARBITRATION AGREEMENT BE VOLUNTARY.

BY SIGNING THIS AGREEMENT, YOU (1) MAY BE WAIVING YOUR RIGHT TO SUE IN A COURT OF LAW; AND (2) ARE AGREEING TO BE BOUND BY ARBITRATION OF ANY CLAIMS OR COUNTERCLAIMS WHICH YOU OR BUCKINGHAM GLOBAL ADVISORS LLC MAY SUBMIT TO ARBITRATION UNDER THIS AGREEMENT. YOU ARE NOT, HOWEVER, WAIVING YOUR RIGHT TO ELECT INSTEAD TO PETITION THE CFTC TO INSTITUTE REPARATIONS PROCEEDINGS UNDER SECTION 14 OF THE COMMODITY EXCHANGE ACT WITH RESPECT TO ANY DISPUTE THAT MAY BE ARBITRATED PURSUANT TO THIS AGREEMENT. IN THE EVENT A DISPUTE ARISES, YOU WILL BE NOTIFIED IF BUCKINGHAM GLOBAL ADVISORS LLC INTENDS TO SUBMIT THE DISPUTE TO ARBITRATION. IF YOU BELIEVE A VIOLATION OF THE COMMODITY EXCHANGE ACT IS INVOLVED AND IF YOU PREFER TO REQUEST A SECTION 14 "REPARATIONS" PROCEEDING BEFORE THE CFTC, YOU WILL HAVE 45 DAYS FROM THE DATE OF SUCH NOTICE IN WHICH TO MAKE THAT ELECTION.

**YOU NEED NOT SIGN THIS AGREEMENT TO OPEN OR MAINTAIN AN ACCOUNT WITH BUCKINGHAM GLOBAL ADVISORS LLC. SEE 17 CFR 166.5.**

**IF INDIVIDUAL PERSON(S)**

\_\_\_\_\_  
First Client's Signature

\_\_\_\_\_  
Second Client's Signature (if a joint account)

\_\_\_\_\_  
Name (Please Print)

\_\_\_\_\_  
Name (Please Print)

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

**IF AN ENTITY**

\_\_\_\_\_  
Name of Owner of Managed Account

\_\_\_\_\_  
Authorized Person's Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Authorized Person's Name (Please Print)

\_\_\_\_\_  
Title (Please Print)

**EXHIBIT E**  
**BUCKINGHAM GLOBAL ADVISORS LLC**  
**NOTIONAL FUNDING AGREEMENT**

*(complete only if applicable)*

The Advisor is providing this document to you because you have expressed your desire to us to use notional funds to increase the leverage available to the Advisor in trading your account. You hereby represent to the Advisor that this decision is your own, and was not solicited. It is understood that the purpose of this document is to verify your intent to utilize notional funds and to reiterate the supplemental risk disclosure, and thus will not alter any rights or obligations that are contained in the Agreement.

With respect to the Advisory Agreement (“Agreement”) between Buckingham Global Advisors, LLC (the "Advisor") and \_\_\_\_\_ (the "Client"), you have directed that the Advisor begin trading your account on a notional basis effective this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

You have deposited \$ \_\_\_\_\_ in actual funds (“Actual Funds”) to be traded as \$ \_\_\_\_\_ (“Trading Level” or “Nominal Account Value”). Your Nominal Account Value shall be equal to Actual Funds plus Notional Funds, and will be traded within the trading program pursuant to the Agreement. The Nominal Account Value will increase or decrease based on profits and losses and additions and withdrawals.

If your account is partially funded you are also reminded of the following risks:

1. Although gains and losses, fees and commissions measured in dollars will be the same, they will be greater when expressed as a percentage of Actual Funds.
2. Notionally funded accounts may receive more frequent and larger margin calls.
3. The amount of losses and gains for notionally funded accounts will be amplified by the specific level of funding utilized.
4. Draw downs and run-ups will be greater when expressed as a percentage of Actual Funds than when expressed as a percentage of Nominal Account Value for partially-funded accounts.
5. Any cash additions to (or withdraws from the account) will affect the Trading Level of the account.
6. Any profits (or losses) in the account will affect the Trading Level of the account.
7. Fee calculations will be based on the Nominal Account Value, not Actual Funds on deposit.

Clients considering opening a notionally funded account with Buckingham Global Advisors, LLC should be certain that they fully understand the implications inherent in this type of trading. They should carefully consider the risk return profile of their desired notional funding level. It is imperative for clients to recognize that due to increased leverage; notionally funded accounts will experience greater percentage

losses as well as greater percentage gains, in terms of Actual Funds, than if a similar account were fully funded.

I hereby acknowledge that I have read and understand this statement regarding additional risk associated with the use of Notional Funds to increase leverage. The Advisor may at any time upon written notice terminate its agreement to trade Notional Funds.

**IF INDIVIDUAL PERSON(S)**

\_\_\_\_\_  
First Client's Signature

\_\_\_\_\_  
Second Client's Signature (if a joint account)

\_\_\_\_\_  
Name (Please Print)

\_\_\_\_\_  
Name (Please Print)

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

**IF AN ENTITY**

\_\_\_\_\_  
Name of Owner of Managed Account

\_\_\_\_\_  
Authorized Person's Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Authorized Person's Name (Please Print)

\_\_\_\_\_  
Title (Please Print)

**EXHIBIT F**  
**BUCKINGHAM GLOBAL ADVISORS LLC**  
**PRIVACY NOTICE**

In the United States of America there are regulations which impose various requirements on a financial institutions' treatment of client information. These regulations require that financial institutions develop privacy policies and disclose these policies to its clients.

Buckingham Global Advisors, LLC considers your privacy one of our utmost concerns. This Privacy Notice outlines our current policies and practices regarding how information about individual clients is collected and used. We will send existing clients an updated Privacy Notice on an annual basis.

In order to provide you with individualized service, Buckingham Global Advisors, LLC collects information about you from your account application and other forms that you may deliver to us. Buckingham Global Advisors, LLC also collects information about your transactions with us and our affiliates. We use this information to open an account for you, process your requests and transactions and to provide you with additional information about our products and services. In order to service your account and mail correspondence to you, we provide your personal information to other affiliated independent firms that specialize in providing these services. These firms include our clearing firm(s), trade partners, back office firms, and also our printing/mailing vendors. We require these other independent firms to protect the confidentiality of your information and to use the information only for the limited purpose for which the disclosure is made. We do not disclose any nonpublic personal information about our clients to other independent firms, organizations or individuals except in furtherance of our business relationship with you, or as otherwise permitted or required by law. In addition, if you decide at some point to close your Buckingham Global Advisors, LLC account, we will continue to adhere to the privacy policies and practices described in this notice.

We restrict access to nonpublic personal information about you to those employees who need to know that information to provide products or services to you. We maintain physical, electronic and procedural safeguards that comply with US federal standards to guard your personal information.

If you prefer that we limit disclosures of personal information about you, you may notify us via email at [cdai@buckinghamga.com](mailto:cdai@buckinghamga.com) or by calling (949) 668-1620, Monday through Friday, between the hours of 9 a.m. and 4 p.m. If you determine to opt out of this policy you can instruct us to what extent we are able to disclose your non-public personal information to affiliated third parties. If you have any questions or concerns regarding the privacy of your information at Buckingham Global Advisors, LLC, or would like to discuss your opt-out options please contact us at your earliest convenience.

Thank you for your business,  
Buckingham Global Advisors, LLC



**EXHIBIT G**  
**BUCKINGHAM GLOBAL ADVISORS LLC**  
**NFA BASIC NOTICE**

Thank you for deciding to trade with Buckingham Global Advisors, LLC, we are glad to have you as a client and greatly value your business. In accordance with NFA membership requirements we must make you aware of a helpful tool that NFA has created to guide you through your investment experience; The National Futures Association ("NFA") Background Affiliation Status Information Center ("BASIC"). This system compiles and makes available historical information on NFA members and CFTC registrants for the public to access over the internet. Information available on the site includes but is not limited to things such as a firm or individual's disciplinary history, registration history, and company affiliations.

The NFA BASIC system may be accessed at [www.nfa.futures.org/basicnet/](http://www.nfa.futures.org/basicnet/). To locate information on a registrant, simply enter the registrant's NFA ID number when prompted or type the registrants name in if you are unaware of an existing ID number. For questions regarding this system, you may contact the NFA information center at 1-800-621-3570 between the hours of 8:00 a.m. to 5:00 p.m. CST.

For your convenience:

Buckingham Global Advisors, LLC  
NFA identification number is: 0487899